### Veer Narmad South Gujarat University

#### 602 – Financial Institutions and Markets

###### Third Year BBA(Semester6) With Effect from A.Y.2021-22

Objectives of the course:

1. To develop a deeper understanding of why certain markets and institutions exist, how they create economicvalue.
2. To acquaint students about Financial System, financial services and different financial intermediaries which plays important roles in functioning ofeconomy

Teaching Pedagogy:

Lectures, PowerPoint Presentations, Group Work

Course Content:

1. **FinancialInstitutions: (25%)**

Financial System, Regulatory and Promotional Institutions: Financial System and Economic Development, Financial Sector reforms, financial inclusion. Regulatory and Promotional Institutions: Functions and Role of RBI, Monetary policy and techniques of monetary control of RBI, The Role and Functions of SEBI.

1. The Banking and Non-BankingFinancialInstitutions: (25%)

The public and private sectors, structure, Bank capital and Banking Innovations, Commercial and Co-operative Banks, Non-Banking Financial Institutions, Insurance Companies - Role of IRDA. Mutual Funds: Growth of Indian Mutual Fund and its Regulations- The Role of AMFI

1. FinancialMarkets: (25%)

Structure and Functions of Call Money Market, Government Securities Market, Treasury Bills Market, Commercial Bills Market, Commercial Paper and Certificates of Deposits, Securities Markets: Organization and Structure, Listing, Trading and Settlement, SEBI and Regulations of Primary and Secondary Markets.

1. DebtMarket: (25%)

Introduction and meaning, Market for Government/Debt Securities in India, Secondary market for government/debt securities, over subscription and devolvement of Government Securities, Government securities issued by State Governments, Municipal Bonds, Corporate Bonds vs. Government Bonds

Suggested Readings:

* + *R. Shanmugham. Financial Services. 2ndEdition New Delhi: Wiley India Pvt.Ltd*
  + *Pathak, Bharati V., Indian Financial System: Markets, Institutions and Services, Pearson education (Singapore), New Delhi, Second edition,2008.*
  + *NIMS (National Institute of Security Markets – An Educational Initiative of SEBI), Merchant Banking, TaxMann Publications Pvt. Ltd.,Mumbai.*
  + *Bhole, L.M. , Financial institutions and Markets: Structure, Growth and Innovations, McGrawHill, New Delhi, Fourth edition,2008.*
  + *Khan M.Y, Financial Services, 5th edition Tata McGraw Hill, NewDelhi.*
  + Saunders, Anthony & Cornett, Marcia Millon (2007). *Financial Markets and Institutions (*3rd ed.). Tata McGrawHill
  + Shahani, Rakesh( 2011). *Financial Markets in India: A Research Initiative.* Anamica Publications